

AUG 23 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICIAL

Applicant(s): Roman C. Gutierrez; Thomas R. VanZandt; Christopher I. Walker;  
Edouard G.H. Schmidtlin; Robert J. Calvet

Assignee: SiWave, Inc.

Title: Fiber Optic Collimator and Collimator Array

Serial No.: 10/669,676 Filing Date: September 23, 2003

Examiner: J. Martinez Group Art Unit: 2873

Docket No.: M-15571 US Confirmation No.: 1414  
(formerly 14250-016001)

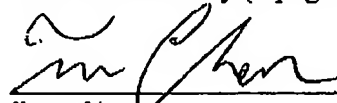
Irvine, California  
August 23, 2004Via Facsimile to (703) 308-5077

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following documents are being facsimile transmitted to the  
U.S. Patent and Trademark Office on the date shown below:

- (1) Transmittal Letter (1 page);
- (2) Power of Attorney By Assignee Of Entire Interest w/copy of Recorded  
Assignment (9 pages);
- (3) Response to Office Action (11 pages); and
- (4) New Combined Declaration and Power of Attorney (4 pages).

Dated: August 23, 2004  
Tom Chen  
Registration No. 42,406Number of pages (including this sheet): 26

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August 23, 2004

**Via Facsimile to (703) 872-9306**

Mail Stop AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Re: Applicants: Roman C. Gutierrez; Thomas R. VanZandt; Christopher I. Walker;  
 Assignee: Edouard G.H. Schmidtlin; Robert J. Calvet  
 SiWave, Inc.  
 Title: Fiber Optic Collimator And Collimator Array  
 Application No.: 10/669,676 Filing Date: September 23, 2003  
 Examiner: Joseph P. Martinez Group Art Unit: 2873  
 Docket No.: M-15571 US Confirmation No.: 1414

Dear Sir:

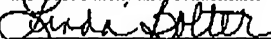
Transmitted herewith are the following documents in the above-identified application:

- (1) Certification of Facsimile Transmission (1 page);
- (2) This Transmittal Letter (1 page);
- (3) Power of Attorney By Assignee of Entire Interest w/copy of Recorded Assignment (9 pages);
- (4) Response to Office Action (11 pages); and
- (5) New Combined Declaration and Power of Attorney (4 pages).

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below:


**CLAIMS AS AMENDED**

	Claims Remaining After Amendment		Highest No. Previously Paid For		Present Extra	Rate	Additional Fee
Total Claims	20	Minus	20	=	0	x \$ 9.00	\$ - 0 -
Independent Claims	4	Minus	4	=	0	x \$43.00	\$ - 0 -
<input type="checkbox"/> Fee of \$145.00 for the first filing of one or more multiple dependent claims per application							\$
<input type="checkbox"/> Information Disclosure Statement							\$
<b>Total additional fee for this Amendment:</b>							\$
<input checked="" type="checkbox"/> Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.							
<input type="checkbox"/> Please charge our Deposit Account No. 50-2257 in the amount of							\$ - 0 -
<input checked="" type="checkbox"/> Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 50-2257							
Total:							\$ - 0 -

**Certification of Facsimile Transmission**I hereby certify that this paper is being facsimile transmitted to  
the U.S. Patent and Trademark Office on the date shown below.
  
 Linda Bolter

 August 23, 2004  
 Date of Signature

Respectfully submitted,

  
 Tom Chen  
 Attorney for Applicants  
 Reg. No. 42,406

**OFFICIAL**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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RESPONSE TO OFFICE ACTION

Dear Sir:

In response to the Office Action dated May 21, 2004, please amend the above-identified application as follows. Because the three month response date of August 21, 2004 was on a Saturday, the present response, filed August 23, is within the statutory period.

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